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PATENT  
ATTORNEY DOCKET NO.: 041464-5018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): Tomohiro KAWATA and Kunio MITOBE

For: SPEAKER UNIT

1. This new application is for a:  
☒ [ X ] Utility      ☐ [ ] Design      ☐ [ ] Plant
2. Papers enclosed which are required for a filing date:  
9    Pages of specification including  
      Title Page  
1    Page of claims and  
1    Page of Abstract  
5    Sheets of      ☒ [ X ] FORMAL      ☐ [ ] INFORMAL      drawings containing  
6    Figures  
  
☐ [ ]    The enclosed drawing(s) are photograph(s), and there is also attached a  
      PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)
3. Combined Declaration and Power of Attorney  
☒ [ X ]    Enclosed - and is executed by all inventors  
☐ [ ]    Not Enclosed  
      This application is being filed under the provisions of 37 C.F.R. §1.53(d).  
      Applicant(s) await notification from the Patent and Trademark Office of the time  
      set for filing the Declaration and paying the filing fees.
4. Language  
☒ [ X ]    English  
☐ [ ]    Non-English  
      This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01  
      of the MPEP. Applicant(s) await notification from the Patent and Trademark

Office of the time set for filing the verified English translation and the processing fee.

## 5. Assignment

☐ is attached and Assignment of the invention is to \_\_\_\_\_  
☐ also enclosed is the Form PTO 1595, Recordation Form Cover Sheet.

☒ will be filed at a later date

## 6. Certified Copy

Application(s) from which priority is claimed are:

Country	Application No.	Filed
Korea	8-266684	September 17, 1996

Certified copy(ies) is/are ☒ attached ☐ will follow

## 7. Fee Calculation

CLAIMS AS FILED				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility\$770.00 Design\$320.00
Total Claims (37 CFR 1.16(c))	3 - 20 =		\$ 22.00 each=	+
Independent Claims (37 CFR 1.16(b))	1 - 3 =		\$ 80.00 each=	+
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$260.00	+
SUB-TOTAL =				\$770.00
Reduction by 1/2 for filing by a small entity				- \$
TOTAL FILING FEE =				\$770.00

## 8. Small Entity Statement(s)

☐ Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. §1.9 and §1.27 is(are) attached.

9. Fee Payment

☐ Not Enclosed.

**NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.**

This application is being filed under the provisions of 37 C.F.R. §1.53(d).  
Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

☒ Enclosed.

A check in the amount of \$ 770.00 representing the filing fee of \$ 770.00 is enclosed.

**Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account 13-4520.

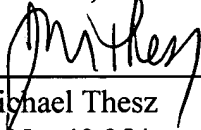
10. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Citations

**Please accord an application number and filing date.**

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

  
\_\_\_\_\_  
J. Michael Thesz  
Reg. No. 40,354

Dated: September 16, 1997

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